

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Scott A. Lerner, et al.                      Docket No. : IL-11135  
Serial No. :    Art Unit :  
Filed :    Examiner :  
For : COMPACT REFRACTIVE IMAGING SPECTROMETER  
UTILIZING IMMERSED GRATINGS

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Alexandria, VA 22313-1450

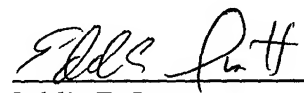
Dear Sir:

Forwarded herewith is an Information Disclosure Statement, Form-1449, in the above-identified application. Copies of the cited references are enclosed: 14 U.S. Patent Documents and 1 Foreign Patent Document.

The above-mentioned disclosures, which are not admitted as prior art, are identified on the enclosed Form 1449.

Respectfully submitted,

Dated: *Aug 21, 2003*

  
\_\_\_\_\_  
Eddie E. Scott  
Attorney for Applicant  
Registration No. 25,220

Enclosures: As noted above

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PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)		<b>Complete if Known</b>	
		Application Number	
		Filing Date	
		First Named Inventor	Scott A. Lerner, et al.
		Group Art Unit	
Examiner Name			
Sheet 1 of 1	Attorney Docket Number		IL-11135

### U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document Number	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		5,127,728		Warren, et al.	07-07-1992	
		5,717,487		Davies	01-10-1998	
		5,781,290		Bittner, et al.	07-14-1998	
		5,880,834		Chrisp	03-09-1999	
		6,016,220		Cook	01-18-2000	
		6,078,048		Stevens, et al.	06-20-2000	
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		6,266,140	B1	Xiang, et al.	07-24-2001	
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		6,538,737	B2	Sandstrom, et al.	03-25-2003	
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		2002/0135770	A1	Lewis, et al.	09-26-2002	

### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Office <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		WO 99/63311	Ansley, et al.	12-09-1999		

Examiner Signature	Date Considered
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<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.  
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